Please type a plus sign (+) inside this box → [+]

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	COMP:0201	Total Pages	36

First Named Inventor or Application Identifier

Stephen D. Heizer et al.

Express Mail Label No.	EL652336148US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification   **Total Pages** 23
(preferred arrangement set forth below)
-Descriptive
-Cross References to Related Application
-Statement Regarding Fed sponsored R & D
-Reference to Microfiche Appendix
-Background of the Invention
-Brief Summary of the Invention
-Brief Description of the Drawings *(if filed)*
-Detailed Description
-Claim(s)
-Abstract of the Disclosure

3. ☒ Drawing(s) *(35 USC 113)*   **Total Sheets** 3   **Total Pages** 9

4. Oath or Declaration
   a. ☒ Newly executed (original or copy) **Unsigned**
   b. ☐ Copy from a prior application (37CFR 1.63(d))
      (for continuation/divisional with Box 17 completed)
      [Note Box 5 below]
      i. ☐ DELETION OF INVENTOR(S)
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*
   The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program *(Appendix)*

7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. ☐ Computer Readable Copy
   b. ☐ Paper Copy (identical to computer copy)
   c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney *(where there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449   Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity ☐ Statement filed in prior application Statement(s)   Status still proper and desired

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Other PTO-2038

17. ☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP) of prior application No: _____/_____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

☐ Correspondence address below

NAME	Diana M. Sangalli
	Fletcher, Yoder & Van Someren
ADDRESS	P.O. Box 692289

CITY	Houston	STATE	Texas	ZIP CODE	77269-2289
COUNTRY	USA	TELEPHONE	(281) 970-4545	Fax	(281) 970-4503

FEE TRANSMITTAL

Complete if Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Stephen D. Heizer et al.
Group Art Unit	
Examiner Name	

TOTAL AMOUNT OF PAYMENT	($) 0.00	Attorney Docket Number	COMP:0201/VAN

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

Deposit Account Name   Fletcher, Yoder & Van Someren

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)

2. ☐ Payment Enclosed:

☐ Check   ☐ Money Order   ☐ Other

PTO-2038

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	330	206	165	Design filing fee	—
107	540	207	270	Plant filing fee	—
108	790	208	395	Reissue filing fee	—
114	150	214	75	Provisional filing fee	—
				SUBTOTAL (1) ($)	**710.00**

2. CLAIMS

	Extra		Fee from below		Fee Paid
Total Claims 37 -20 =	17	X	18	=	306.00
Independent 3 - 3 = Claims	0	X	80	=	80.00
Multiple Dependent Claims	0	X	0	=	0

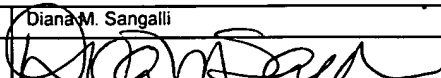| Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
109	82	209	41	Reissue independent claims over original patent	
110	22	210	11	Reissue claims in excess of of 20 and over original patent	
				SUBTOTAL (2) ($)	**386.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee ($)	Small Fee Code	Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	—
127	50	227	25	Surcharge - late provisional filing or cover sheet.	—
139	130	139	130	Non-English specification	—
147	2,520	147	2,520	For filing a request for reexamination	—
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	—
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	—
115	110	215	55	Extension for response within first month	—
116	400	216	200	Extension for response within second month	—
117	950	217	475	Extension for response within third month	—
118	1,570	218	755	Extension for response within fourth month	—
119	310	219	155	Notice of Appeal	—
120	310	220	155	Filing a brief in support of an appeal	—
121	270	221	135	Request for oral hearing	—
138	1,510	138	1,510	Petition to institute a public use proceeding	—
140	110	240	55	Petition to revive unavoidably abandoned application	—
141	1,320	241	660	Petition to revive unintentionally abandoned application	—
142	1,320	242	660	Utility issue fee (or reissue)	—
143	450	243	225	Design issue fee	—
144	670	244	335	Plant issue fee	—
122	130	122	130	Petitions to the Commissioner	—
123	50	123	50	Petitions related to provisional applications	—
126	240	126	240	Submission of Information Disclosure Stmt	—
581	40	581	40	Recording each patent assignment per property (times number of properties)	—
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—
				Other fee (specify) —	—
				Other fee (specify) —	—
				SUBTOTAL (3) ($)	

* Reduced by Basic Filing Fee Paid

SUBMITTED BY			Complete (if applicable)
Typed or Printed Name	Diana M. Sangalli	Reg. Number	40,798
Signature		Date May 10, 2001	Deposit Acct. User ID